

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

11677/01402 (DSC-12)

First Inventor

Robert W. STUCKEL et al.

Title

Credit Card
Cutting Machine

Express Mail Label No.

EV 048155430 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Sheets 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal Letter (2 pages)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 26114 OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Douglas A. Sorensen

Registration No. (Attorney/Agent)

31,570

Signature

Date

09/24/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10/669581

09/24/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 948.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Robert W. STUCKEL et al.
Examiner Name	
Art Unit	
Attorney Docket No.	11677/01402 (DSC-12)

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number
Deposit Account Name

18-1260

Sidley Austin
Brown & Wood LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 750

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	31 - 20** = 11	X	198
Multiple Dependent Claims	3 - 3** = 0	X	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 198

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
1053 130	2053 130	Non-English specification			
1812 2,520	2812 2,520	For filing a request for <i>ex parte</i> reexamination			
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 410	2252 205	Extension for reply within second month			
1253 930	2253 465	Extension for reply within third month			
1254 1,450	2254 725	Extension for reply within fourth month			
1255 1,970	2255 985	Extension for reply within fifth month			
1401 320	2401 160	Notice of Appeal			
1402 320	2402 160	Filing a brief in support of an appeal			
1403 280	2403 140	Request for oral hearing			
1451 1,510	2451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,300	2453 650	Petition to revive - unintentional			
1501 1,300	2501 650	Utility issue fee (or reissue)			
1502 470	2502 235	Design issue fee			
1503 630	2503 315	Plant issue fee			
1460 130	2460 130	Petitions to the Commissioner			
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	2806 180	Submission of Information Disclosure Stmt			
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	40		
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))			
1801 750	2801 375	Request for Continued Examination (RCE)			
1802 900	2802 900	Request for expedited examination of a design application			

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Douglas A. Sorensen	Registration No. (Attorney/Agent)	31,570	Telephone	214-981-3300
Signature		Date	9/24/2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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September 24, 2003

MAIL STOP PATENT APPLICATION

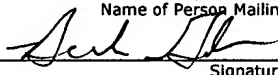
Commissioner for Patents
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EXPRESS MAIL MAILING LABEL No.: EV 048155430 US
DATE OF DEPOSIT: SEPTEMBER 24, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Derrick Gordon

Name of Person Mailing Paper or Fee



Signature

SEPTEMBER 24, 2003

Date of Signature

Re: **New U.S. Patent Application Transmittal**
For: **CREDIT CARD CUTTING MACHINE**
By: **Robert W. STUCKEL, Kimberly Sue WILSON and**
Nicole Marie MACHNOWSKI
Attorney Docket No.: 11677/01402 (DSC-12)

Dear Sir:

This Utility Patent Application Transmittal is submitted in connection with a CREDIT CARD CUTTING MACHINE, by Robert W. STUCKEL, Kimberly Sue WILSON and Nicole Marie MACHNOWSKI, inventors, and is related to U.S. Provisional Patent Application Serial No. 60/435,802 filed December 20, 2002:

Enclosed herewith are the following:

APPLICATION ELEMENTS:

- 1) A duplicate copy of this Transmittal Letter (containing a breakdown of amount of fees due and authorization to charge Deposit Account No. 18-1260);
- 2) Specification (15 pages, including 31 claims and an abstract);
- 3) Drawings (Figs. 1-4, 2 sheets);
- 4) Executed Declaration and Power of Attorney (4 pages);
- 5) Form PTO/SB05, Utility Patent Application Transmittal (1 page); and
- 6) Form PTO/SB/17, Fee Transmittal for FY 2003, with authorization to charge Deposit Account No. 18-1260, 1 page in duplicate).

MAIL STOP PATENT APPLICATION

Commissioner For Patents

September 24, 2003

Page 2

ACCOMPANYING APPLICATION PARTS:

- 1) Assignment (5 pages) of the invention to DISCOVER FINANCIAL SERVICES, INC. and Recordation Form Cover Sheet (1 page, *in duplicate*) with authorization to charge recordal fee to Deposit Account No. 18-1260.

The amount of the filing fee is calculated below:

			Fee for small entity			Fee for other than small entity	
Fee	No. filed	No. extra*	Rate	Fee	OR	Rate	Fee
Basic fee				\$370	OR		\$750
Total claims	31-20=	11	X \$9=	\$	OR	X \$18=	\$198
Independent claims	3-3=	0	X \$42=	\$	OR	X \$84=	\$ -0-
Multiple Dependent Claim Presented	0	0	+\$140=	\$0	OR	+\$280=	\$ -0-
			Total	\$	OR	Total	\$ 948.00

*If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fees in the amount of \$988.00 (\$750.00 for the basic filing fee, \$198.00 for the excess claims fee, and \$40.00 for the assignment recordal fee) to SIDLEY AUSTIN BROWN & WOOD LLP'S Deposit Account No. 18-1260. If additional fees are due, please charge any fees required during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

All correspondence is to be directed to the address associated with the Customer Number 26116.

Respectfully submitted,

By: 

Douglas A. Sorensen
Registration No. 31,570
Attorney for Applicants

DAS/fis
Enclosures